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<p>UTILITY</p> <p>PATENT APPLICATION</p> <p>TRANSMITTAL</p> <p>(Only for new nonprovisional applications under 37 CFR 1.53(b))</p>											
<table border="1"> <tr> <td colspan="2">Attorney Docket No.</td> </tr> <tr> <td colspan="2">P3180.0001/P001-B</td> </tr> <tr> <td>First Inventor</td> <td>Lawrence L. Bell</td> </tr> <tr> <td>Title</td> <td>METHOD AND APPARATUS FOR MODELING AND EXECUTING DEFERRED AWARD INSTRUMENT PLAN</td> </tr> <tr> <td colspan="2">Express Mail Label No.</td> </tr> </table>		Attorney Docket No.		P3180.0001/P001-B		First Inventor	Lawrence L. Bell	Title	METHOD AND APPARATUS FOR MODELING AND EXECUTING DEFERRED AWARD INSTRUMENT PLAN	Express Mail Label No.	
Attorney Docket No.											
P3180.0001/P001-B											
First Inventor	Lawrence L. Bell										
Title	METHOD AND APPARATUS FOR MODELING AND EXECUTING DEFERRED AWARD INSTRUMENT PLAN										
Express Mail Label No.											



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MS Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	a. <input type="checkbox"/> Computer Readable Form (CRF)
- Descriptive title of the invention	b. <input type="checkbox"/> Specification Sequence Listing on:
- Cross Reference to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper
- Statement Regarding Fed sponsored R & D	
- Reference to sequence listing, a table, or a computer program listing appendix	c. <input type="checkbox"/> Statements verifying identity of above copies
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings <i>(if filed)</i>	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	[Total Sheets] 6
5. Oath or Declaration	[Total Sheets]
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
a. <input type="checkbox"/> Computer Readable Form (CRF)	
b. <input type="checkbox"/> Specification Sequence Listing on:	
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper	
c. <input type="checkbox"/> Statements verifying identity of above copies	
ACCOMPANYING APPLICATIONS PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney	
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
13. <input type="checkbox"/> Preliminary Amendment	
14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other: _____	

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney

11. English Translation Document (*if applicable*)

12. Information Disclosure Copies of IDS
Statement (IDS)/PTO-1449 Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.

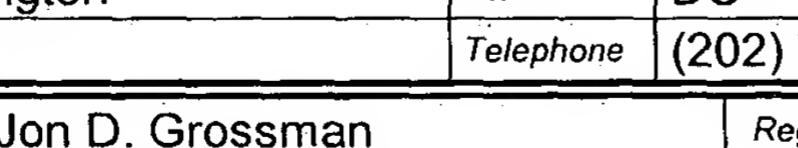
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/690,891

Prior application information: Examiner Jeffrey C. Pwu Art Unit: 3624

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		24998	or <input checked="" type="checkbox"/> Correspondence address below
Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Jon D. Grossman		
Address	2101 L Street NW		
City	Washington	State	DC
Country	US	Telephone	(202) 785-9700
Name (Print/Type)	Jon D. Grossman		Registration No. (Attorney/Agent)
Signature			Date
			June 24, 2003

C. Donald Stevens (53,638)
for Jon D. Grossman

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

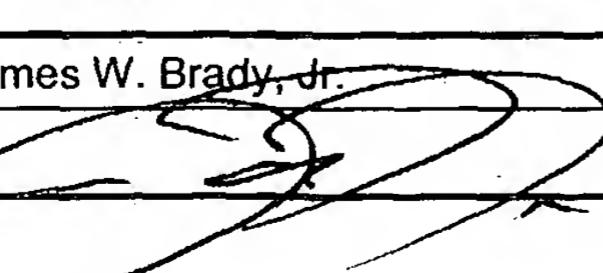
18342/90
U.S. PTO

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\\$) 750.00	Attorney Docket No.	P3180.0001/P001-B
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METHOD OF PAYMENT (check all that apply)					FEES CALCULATION (continued)										
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account					3. ADDITIONAL FEES										
Deposit Account Number		04-1073			Large Entity	Small Entity									
Deposit Account Name		Dickstein Shapiro Morin & Oshinsky LLP			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description						
<p>The Director is hereby authorized to: (check all that apply)</p> <table> <tr> <td><input type="checkbox"/> Charge fee(s) indicated below</td> <td><input checked="" type="checkbox"/> Credit any overpayments</td> </tr> <tr> <td><input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application</td> <td></td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.</td> </tr> </table>										<input type="checkbox"/> Charge fee(s) indicated below	<input checked="" type="checkbox"/> Credit any overpayments	<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	
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FEES CALCULATION															
1. BASIC FILING FEE															
Large Entity		Small Entity													
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid									
1001	750	2001	375	Utility filing fee		750.00									
1002	330	2002	165	Design filing fee											
1003	520	2003	260	Plant filing fee											
1004	750	2004	375	Reissue filing fee											
1005	160	2005	80	Provisional filing fee											
				SUBTOTAL (1)	(\\$)	750.00									
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE															
				Extra Claims	Fee from below	Fee Paid									
Total Claims	10	-20** =	<input type="text"/>	<input type="text"/>	=	0.00									
Independent Claims	2	-3** =	<input type="text"/>	<input type="text"/>	=	0.00									
Multiple Dependent					=										
Large Entity		Small Entity													
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description											
1202	18	2202	9	Claims in excess of 20											
1201	84	2201	42	Independent claims in excess of 3											
1203	280	2203	140	Multiple dependent claim, if not paid											
1204	84	2204	42	** Reissue independent claims over original patent											
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent											
				SUBTOTAL (2)	(\\$)	0.00									
<small>** or number previously paid, if greater; For Reissues, see above</small>															
SUBMITTED BY															
Name (Print/Type) James W. Brady, Jr. Signature 					Complete (if applicable)										
Name (Print/Type)		Registration No. (Attorney/Agent)		32,115		Telephone		(202) 775-4786							
Signature						Date		June 24, 2003							

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\\$)